

EXHIBIT K

State Court of Fulton County

*****EFILED*****

LexisNexis Transaction ID: 32607442

Date: Aug 11 2010 12:21PM

Mark Harper, Clerk

IN THE STATE COURT OF FULTON COUNTY
STATE OF GEORGIA

| | | |
|-----------------------------|---|----------------------------|
| TASER INTERNATIONAL, INC., | : | |
| <i>et al.</i> , | : | |
| | : | |
| Plaintiffs, | : | CIVIL ACTION |
| | : | FILE NO.: 2008-EV-004739-B |
| v. | : | |
| | : | |
| MORGAN STANLEY & CO., INC., | : | JURY TRIAL DEMANDED |
| <i>et al.</i> , | : | |
| | : | |
| Defendants. | : | |

CORRECTED AMENDED NOTICE TO TAKE O.C.G.A. § 9-11-30(b)(6)
DEPOSITION OF DEFENDANT GOLDMAN SACHS & CO. AND
GOLDMAN SACHS EXECUTION & CLEARING, L.P.

TO: Goldman Sachs & Co. and
Goldman Sachs Execution & Clearing, L.P.
c/o Richard C. Pepperman II, Esq.
Richard H. Klapper, Esq.
Tracy Richelle High, Esq.
Sullivan & Cromwell LLP
125 Broad Street
New York, NY 10004

COME NOW plaintiffs in the above-referenced matter pursuant to O.C.G.A. § 9-11-30(b)(6) and notice the deposition of an individual designated by GOLDMAN SACHS & CO. AND GOLDMAN SACHS EXECUTION & CLEARING, L.P. (HEREAFTER, COLLECTIVELY "GOLDMAN") to testify about the matters identified on Exhibit A attached hereto. The deposition will take place on September 16, 2010, beginning at 9:00 a.m. The deposition will occur at

the offices of Rogers & Hardin, 2700 International Tower, Peachtree Center, 229 Peachtree Street, N.E., Atlanta, GA 30303, or at such other time and place as may be mutually agreed upon by the parties. The deposition will take place before a court reporter authorized to administer oaths in the State of Georgia and before a videographer. The deposition will be taken for all purposes allowable under the Georgia Civil Practice Act.

Respectfully submitted this 11th day of August, 2010.

/s/ Steven J. Rosenwasser

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(admitted pro hac vice)

CERTIFICATE OF SERVICE

I hereby certify that on this day, a true and correct copy of the foregoing
CORRECTED AMENDED NOTICE TO TAKE O.C.G.A. § 9-11-30(b)(6)
DEPOSITION OF DEFENDANT GOLDMAN SACHS & CO. AND GOLDMAN
SACHS EXECUTION & CLEARING, L.P. was served on the following counsel
of record electronically via Lexis File & Serve:

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This 11th day of August, 2010.

/s/ Steven J. Rosenwasser
Steven J. Rosenwasser
Georgia Bar No. 614908

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EXHIBIT A

1. Each of the matters below, together with the underlying investigation, inquiry and examination, shall be referred to individually as the “Investigation” or collectively as the “Investigations”
 - (a) 20042000105 AWC (see GS_T48-56). However, for purposes of this Topic 1, the deponent does not need to be prepared to testify as to Paragraphs A3-9 on pages GS_T53-54.
 - (b) 20050002343-01 AWC (see GS T_57-65). However, for purposes of this Topic 1, the deponent does not need to be prepared to testify as to Paragraphs A3-4 on page GS_T62.
 - (c) March 13, 2006 NASD Letter of Caution (see GS T_66-67);
 - (d) March 29, 2006 AWC (see GS_T 68-73). However, for purposes of this Topic 1, the deponent does not need to be prepared to testify as to Paragraphs A4-7 on page GS_T70.
 - (e) NYSE Hearing Board Decision 06-128 (see GS T_74-83) and related June 27, 2006 Stipulation of Facts and Consent to Penalty (see GS_T84-94). However, for purposes of this Topic 1, the deponent does not need to be prepared to testify as to Paragraphs 10-12 on pages GS_T78-79 and GS_T86-87.
 - (f) July 10, 2006 NASD Letters (see GS T_95-96 and 97-98).
 - (g) July 26, 2006 NASD AWC (see GS T_99-107). However, for purposes of this Topic 1, the deponent does not need to be prepared to testify as to Paragraphs A5-6 on page GS_T104-05.
 - (h) January 29, 2009 Cautionary Action (see GS T_133-134 and 135-136).
 - (i) Hearing Board Decision No. 09-NYSE-5, 7 and 8 and 09-AMEX-4 (see GS T137-154) and March 2009 Stipulation of Facts and Consent to Penalty (see GS T155-75). However, for purposes of this Topic 1, the deponent does not need to be prepared to testify as to Paragraphs

1-23 and 31-35 in the Decision (and the corresponding paragraphs in the Stipulation of Facts)

- (j) May 3, 2010 Hearing Board Decision 10-NYSE-11 (see GS T194-205) and Stipulation of Facts and Consent to Penalty (see GS T206-219).
- (k) May 2010 Cease and Desist Order (see GS T220-27).

For each Investigation, please provide a witness to testify as to the following:

- a. The scope of the Investigation, including when the Investigation started, the securities investigated, the traders/trading desks involved, the time periods examined and the types of conduct investigated;
- b. Goldman's knowledge of the conduct described in each Investigation's AWC, Cautionary Action, Decision, Stipulation of Facts and Consent to Penalty or Letter, including (i) when Goldman first learned of each alleged violation or each type of alleged improper or illegal conduct; and (ii) the persons within Goldman who knew of the alleged violation or improper or illegal conduct, and when they obtained that knowledge;
- c. From January 1, 2003 to May 31, 2009, the policies and procedures Goldman has employed and now employs to prevent the conduct described in the AWC, Cautionary Action, Decision, Stipulation of Facts and Consent to Penalty or Letter. This includes, but is not limited to, the means through which Goldman monitored or supervised the conduct;
- d. Goldman's position as to whether the conduct described in the AWC, Cautionary Action, Decision, Stipulation of Facts and Consent to Penalty or Letter Goldman occurred and, if Goldman denies that any of the conduct occurred, the facts and bases for that denial;
- e. Whether the conduct described in the AWC, Cautionary Action, Decision, Stipulation of Facts and Consent to Penalty or Letter violated any Goldman rule, regulation or procedure (including any rule, regulation or procedure contained in any compliance manuals) and, if so, which one(s);

- f. What actions, if any, Goldman took in response to the AWC, Cautionary Action, Decision, Stipulation of Facts and Consent to Penalty or Letter;
- g. Whether Goldman is aware of any other instances in which the conduct described in the AWC, Cautionary Action, Decision, Stipulation of Facts and Consent to Penalty or Letter occurred and, if so, what the conduct was, when it occurred, the trading desks/traders involved and the securities involved;¹
- h. Whether Goldman conducted its own internal investigation into the issues raised by the Investigation or in the AWC, Cautionary Action, Decision, Stipulation of Facts and Consent to Penalty or Letter and, if so: (i) when the investigation occurred, (ii) the persons actively involved in the investigation, (iii) securities involved and (iv) the identification of any reports, analyses or memoranda showing the results of the investigation and who reviewed them;
- i. To the extent Goldman has a position, the impact and effect the conduct described in the AWC, Cautionary Action, Decision, Stipulation of Facts and Consent to Penalty or Letter had or could have on: (1) the accuracy of records; (2) the delivery or receipt of securities; or (3) stock price;
- j. Goldman's position as to whether the conduct described in the AWC, Cautionary Action, Decision, Stipulation of Facts and Consent to Penalty or Letter violates any federal securities laws, rules and regulations or its own internal policies and procedures, and the facts in support thereof;
- k. From January 1, 2003 to May 31, 2009, the person(s) responsible for ensuring that the conduct described in the AWC, Cautionary Action, Decision, Stipulation of Facts and Consent to Penalty or Letter did not occur, including their name and titles;

¹ Plaintiffs are not requesting that Goldman first conduct an audit. Rather, Goldman need only provide information as to "matters known or reasonably available" to the firm. O.C.G.A. 9-11-30(b)(6).

- l. Goldman's rights and options with respect to the Investigation, including, but not limited to, the information it can and/or must provide to the regulator, the ability to provide witnesses or evidence and the right to a hearing or to challenge findings. This topic is limited to the rights and options set forth in the AWC, Cautionary Action and Consent, and will not seek any legal opinions;
 - o. For each of the trading desks and traders involved in the conduct described in the AWC, Cautionary Action, Decision, Stipulation of Facts and Consent to Penalty or Letter, information relating to any other currently known instances in which they were alleged or in fact violated any Goldman rule, regulation or procedure or federal, state or agency rule or regulation relating to short selling, mismarking or inaccurately marking tickets or Reg. SHO²;
 - p. Identification of the trading desks and traders involved in the conduct;
 - q. Identification of any hard copy documents, audio or video recordings of any meetings or telephone calls with regulators relating to the Investigation; and
 - r. Whether any of the conduct described in the AWC, Cautionary Action, Decision, Stipulation of Facts and Consent to Penalty or Letter ever occurred with respect to TASER securities, options or derivatives.
2. Each of the matters below, together with the underlying investigation, inquiry and examination, shall be referred to individually as the "Investigation" or collectively as the "Investigations"
 - (a) 20042000105 AWC (see GS_T48-56). However, for purposes of this Topic 2, the deponent does not need to be prepared to testify as to Paragraphs A1-4 and 8.
 - (b) the November 2002 (CAF020064) matter (see GS T_50);

² See footnote 1.

- (c) 20050002343-01 AWC (see GS T_57-65). However, for purposes of this Topic 2, the deponent does not need to be prepared to testify as to Paragraphs A1-2.
- (d) March 29, 2006 AWC (see GS_T 68-73). However, for purposes of this Topic 2, the deponent does not need to be prepared to testify as to Paragraphs A1-3.
- (e) Hearing Board Decision No. 09-NYSE-5, 7 and 8 and 09-AMEX-4 (see GS T137-154) and March 2009 Stipulation of Facts and Consent to Penalty (see GS T155-75). However, for purposes of this Topic 2, the deponent does not need to be prepared to testify as to Paragraphs 13-17, 20-29, 35-39, 40-48, 49-54, 58-60, 64-67, 79-82 in the Decision (and the corresponding paragraphs in the Stipulation of Facts).

For each Investigation, please provide a witness to testify as to the following:

- (a) The facts alleged in the Investigation;
- (b) Goldman's position as to whether the conduct described in the AWC, Cautionary Action, Decision, Stipulation of Facts and Consent to Penalty or Letter Goldman occurred and, if Goldman denies that any of the conduct occurred, the facts and bases for that denial;
- (c) Whether the conduct described in the AWC, Cautionary Action, Decision, Stipulation of Facts and Consent to Penalty or Letter violated any Goldman rule, regulation or procedure (including any rule, regulation or procedure contained in any compliance manuals) and/or any law, rule or regulation, and, if so, which one(s);
- (d) The fact that Goldman entered into and/or consented to the AWC, Cautionary Action, Decision, Stipulation of Facts and Consent to Penalty or Letter, including, but not limited to, the findings and the censure, sanction, fines and other relief contained therein; and
- (e) Goldman's rights and options with respect to the Investigation, including, but not limited to, the information it can and/or must provide to the regulator, the ability to provide witnesses or evidence and the right to a hearing or to challenge findings. This topic is

limited to the rights and options set forth in the AWC, Cautionary Action and Consent, and will not seek any legal opinions.

3. Whether Goldman's Equity Finance Group ("EFG") executed any trades involving TASER common stock, equities or derivatives and, if so:
- a. When the trades took place;
 - b. the account names/numbers;
 - c. number of shares;
 - d. type of trade (e.g., short or long);
 - e. whether the shares were delivered and within T+3 and, if not, when they were delivered and settled;
 - f. whether the transaction was completely and accurately recorded to the Nasdaq Market Center in accordance with Goldman's own rules and policies, as well as all exchange and federal laws, rules and regulations; and
 - g. whether the transaction was completely and accurately recorded on the order ticket in accordance with Goldman's own rules and policies, as well as all exchange and federal laws, rules and regulations.